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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Hagen et al.

Appln. No.: 10/822,570

Filed: 4/12/04

For: Connector Assembly

Attorney Docket No: 11138-011

Examiner: Unknown

Art Unit: Unknown

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant cites the following references (each listed for the Examiner's convenience on the enclosed Form PTO-1449):

No.	Date of Publication	Patentee/Applicant/Assignee
DE 2002 02 810 U1	7/26/01	Armaturenfabrik Herman Voss GmbH & Co. KG
DE 100 47 872 A1	10/11/01	Armaturenfabrik Herman Voss GmbH & Co. KG

A copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2) is also enclosed. Applicant respectfully solicits the Examiner's consideration of the listed references and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Commissioner is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

July 8, 2004
Date


Steven L. Oberholtzer (Reg. No. 30,670)
Attorney/Agent for Applicant

Enclosures: Form PTO-1449 (one sheet)
Copies of listed references



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MS Amendments
Commissioner for Patents
U.S. Patent and Trademark Office
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- X Information Disclosure Statement
- X Form PTO-1449
- X Two Reference Copies
- X Return Receipt Postcard

Fee calculation and payment:

- ☐ No additional fee is required.
- ☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(_____).
- ☐ An additional filing fee has been calculated as shown below:

				Small Entity		or	Other Than Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	Rate	Add'l Fee
Total		Minus			x \$9=		x \$18=	
Indep.		Minus			x 43=		x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=		+\$290=	
					Total	\$	Total	\$

Fee calculation and payment:

A check in the amount of \$0.00 to cover the above-identified fee(s) is enclosed.

- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925. A copy of this Transmittal is enclosed for this purpose.

Respectfully submitted,

July 8, 2004
Date

Steven L. Oberholtzer, Esq. (Reg. No. 30, 670)
Attorney/Agent for Applicant

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

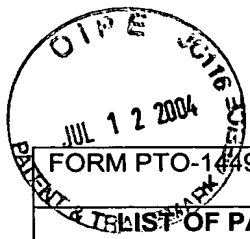
I hereby certify that this correspondence is being deposited with the United States Postal Service first class mail, addressed to: MS Amendment, Commissioner for Patents, U.S. Patent and Trademark Office, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: July 8, 2004 Name: M. Collins

Signature: *M. Collins*

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Chicago, IL 60610



FORM PTO-1449	SERIAL NO. 10/822,570	CASE NO. 11138-011
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE 4/12/04	GROUP ART UNIT Unknown
(use several sheets if necessary)		APPLICANT(S): Hagen et al.

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1					
	A2					
	A3					
	A4					
	A5					

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO	
	A6	2002 02 810 U1	7/26/01	Germany			X
	A7	100 47 872 A1	10/11/01	Germany		Abstract Only	
	A8						
	A9						
	A10						
	A11						
	A12						
	A13						
	A14						

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A11	
	A12	
	A13	
	A14	
	A15	
	A16	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609;
Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.